



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: James R. Riordan et al.

Docket No.: CH920000010US1

Serial No.: 09/821,584

Group Art Unit:

Filed: March 29, 2001

Examiner:

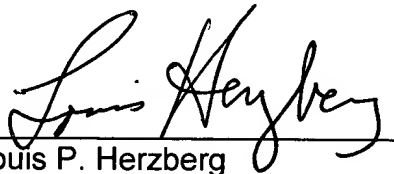
For: SYSTEM, METHOD AND SOFTWARE FOR SUPPLYING  
ACTIVATION INFORMATION TO A SUBSYSTEM

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

In order to assist in the examination of this application, and in accordance with 37 CFR 1.56, 1.97 and 1.98, copies of the documents listed on the attached form PTO-1449 are forwarded herewith.

By:   
Louis P. Herzberg  
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IBM Corporation  
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P. O. Box 218  
Yorktown Heights, New York 10598



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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

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PTO 1449 Form with references

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FORM PTO-1449 (Modified)

ATTY. DOCKET NO.

SERIAL NO.

CH920000010US1

09/821,584

**LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**

APPLICANT: James R. Riordan et al.

(Use several sheets if necessary)

**FILING DATE:**  
**March 29, 2001**
**GROUP:**

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIALS |    | DOCUMENT<br>NUMBER | DATE     | NAME            | CLASS | SUBCLASS | FILING DATE<br>(IF APPRO.) |
|----------------------|----|--------------------|----------|-----------------|-------|----------|----------------------------|
|                      | AA | 5,825,877          | 20-10-98 | Dan et al.      |       |          |                            |
|                      | AB | 5,978,484          | 02-11-99 | Apperson et al. |       |          |                            |
|                      | AC |                    |          |                 |       |          |                            |
|                      | AD |                    |          |                 |       |          |                            |
|                      | AE |                    |          |                 |       |          |                            |
|                      | AF |                    |          |                 |       |          |                            |
|                      | AG |                    |          |                 |       |          |                            |
|                      | AH |                    |          |                 |       |          |                            |
|                      | AI |                    |          |                 |       |          |                            |
|                      | AJ |                    |          |                 |       |          |                            |
|                      | AK |                    |          |                 |       |          |                            |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|--------------------|------|---------|-------|----------|-------------|----|
|  |    |                    |      |         |       |          | YES         | NO |
|  | AL |                    |      |         |       |          |             |    |
|  | AM |                    |      |         |       |          |             |    |
|  | AN |                    |      |         |       |          |             |    |
|  | AO |                    |      |         |       |          |             |    |
|  | AP |                    |      |         |       |          |             |    |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

|    |  |
|----|--|
| AQ | Patterns of Network Intrusion- James Riordan; IBM Zurich research Laboratory, Saumerstrasse 4, CH-8803 Ruschlikon, Switzerland. February 17, 2000. |
| AR | Environmental Key Generation Towards Clueless Agents- James Riordan and Bruce Schneier; University of Minnesota, LNCs 1419, pp. 15-24, 1998.       |
| AS | Apoptosis- the Programmed Death of Distributed Services - Christian Tschudin   |
| AT |  |
| AU |  |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.